

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C. 20231  
ÉTATS-UNIS D'AMÉRIQUE.

in its capacity as elected Office

<b>Date of mailing</b> (day/month/year) 06 December 1999 (06.12.99)	
<b>International application No.</b> PCT/EP99/02578	<b>Applicant's or agent's file reference</b> CEO1538R/PCT
<b>International filing date</b> (day/month/year) 15 April 1999 (15.04.99)	<b>Priority date</b> (day/month/year) 16 April 1998 (16.04.98)
<b>Applicant</b> HOWELL, Stephen, Andrew et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:  
15 November 1999 (15.11.99)

☐ in a notice effecting later election filed with the International Bureau on:  
\_\_\_\_\_

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No.: (41-22) 740.14.35</p>	<p>Authorized officer C. Cupello</p> <p>Telephone No.: (41-22) 338.83.38</p>
--	--

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>CE01538R/PCT</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/EP 99/ 02578</b>	International filing date (day/month/year) <b>15/04/1999</b>	(Earliest) Priority Date (day/month/year) <b>16/04/1998</b>
Applicant <b>MOTOROLA LIMITED et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.



It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.



the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing :



contained in the international application in written form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☐ Unity of invention is lacking (see Box II).

## 4. With regard to the title,



the text is approved as submitted by the applicant.



the text has been established by this Authority to read as follows:

## 5. With regard to the abstract,



the text is approved as submitted by the applicant.



the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

## 6. The figure of the drawings to be published with the abstract is Figure No.

2&3



as suggested by the applicant.



None of the figures.



because the applicant failed to suggest a figure.



because this figure better characterizes the invention.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/02578

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 H04Q7/32

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	W0 98 33343 A (TELECOM FINLAND) 30 July 1998 (1998-07-30)	1,4,5,7, 10-14,17
P,Y	page 7, line 15 - page 11, line 35; figures	15
P,Y	W0 98 24257 A (NOKIA) 4 June 1998 (1998-06-04)	15
P,X	W0 98 27767 A (NOKIA) 25 June 1998 (1998-06-25)	1,7, 11-14
Y	W0 97 25828 A (ERICSSON) 17 July 1997 (1997-07-17)	1,7
A	page 10, line 25 - page 25, line 13; figures	2-6,8-14
	--- -/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

3 August 1999

Date of mailing of the international search report

30. 09. 1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Geoghegan, C

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/02578

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP 0 562 890 A (HUTCHISON MICROTTEL) 29 September 1993 (1993-09-29) page 2, column 2, line 33 - page 4, column 6, line 58; figures ----	1,7
A	WO 97 26719 A (NEWCOM TECHNOLOGIES) 24 July 1997 (1997-07-24) page 7, line 17 - page 12, line 27; figures ----	1,7
A	WO 97 05729 A (TELECOM ITALIA MOBILE) 13 February 1997 (1997-02-13) page 2, line 17 - page 5, line 16 -----	1,7

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 99/02578

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9833343	A	30-07-1998	FI 970339 A	28-07-1998
			AU 5865998 A	18-08-1998
WO 9824257	A	04-06-1998	FI 964732 A	28-05-1998
			AU 5122598 A	22-06-1998
WO 9827767	A	25-06-1998	FI 965071 A	18-06-1998
			AU 5399098 A	15-07-1998
WO 9725828	A	17-07-1997	AU 1325597 A	01-08-1997
EP 562890	A	29-09-1993	NONE	
WO 9726719	A	24-07-1997	AU 1064697 A	11-08-1997
			AU 697560 B	08-10-1998
			AU 7533496 A	24-07-1997
			CN 1214162 A	14-04-1999
			EP 0785634 A	23-07-1997
WO 9705729	A	13-02-1997	IT RM950521 A	27-01-1997
			AU 6667896 A	26-02-1997
			BG 102267 A	30-12-1998
			CA 2227340 A	13-02-1997
			CN 1192308 A	02-09-1998
			CZ 9800233 A	15-07-1998
			EP 0840973 A	13-05-1998
			HU 9900175 A	28-04-1999
			NO 980341 A	26-03-1998
			NZ 315079 A	28-01-1999
			PL 324646 A	08-06-1998

From the INTERNATIONAL SEARCHING AUTHORITY

**PCT**NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION

(PCT Rule 44.1)

To:

**MOTOROLA**  
Europ. Intell. Property Operations  
Attn. Gibson, Sarah  
Midpoint  
Alencon Link  
Hampshire RG21 7PL  
UNITED KINGDOM

EUROPEAN

12 OCT 1999

Date of mailing  
(day/month/year)

30/09/1999

Applicant's or agent's file reference

CE01538R/PCT

**FOR FURTHER ACTION**

See paragraphs 1 and 4 below

International application No.

PCT/EP 99/02578

International filing date  
(day/month/year)

15/04/1999

Applicant

MOTOROLA LIMITED et al.

1. ☒ The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

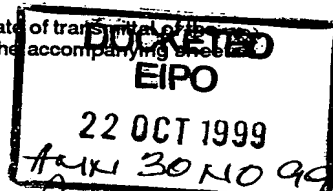
**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland  
Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.



2. ☐ The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Annick Crab

## NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

##### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

## NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

**The following examples illustrate the manner in which amendments must be explained in the accompanying letter:**

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:  
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:  
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:  
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or  
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:  
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

### **"Statement under article 19(1)" (Rule 46.4)**

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

**It must be in the language in which the international application is to be published.**

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### **Consequence if a demand for international preliminary examination has already been filed**

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

### **Consequence with regard to translation of the international application for entry into the national phase**

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.



REC'D 10 AUG 2000

WIPO

PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference CEO1538R/PCT	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/EP99/02578	International filing date (day/month/year) 15/04/1999	Priority date (day/month/year) 16/04/1998
International Patent Classification (IPC) or national classification and IPC H04Q7/32		
Applicant MOTOROLA LIMITED et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 8 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand  15/11/1999	Date of completion of this report  08.08.2000
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Frey, R  Telephone No. +49 89 2399 7522 

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/EP99/02578

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-8 as originally filed

**Claims, No.:**

1-19 as originally filed

**Drawings, sheets:**

1/4-4/4 as originally filed

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP99/02578

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Yes:	Claims	1-19
	No:	Claims	
Inventive step (IS)	Yes:	Claims	
	No:	Claims	1-19
Industrial applicability (IA)	Yes:	Claims	1-19
	No:	Claims	

### 2. Citations and explanations

**see separate sheet**

## VI. Certain documents cited

### 1. Certain published documents (Rule 70.10)

and / or

### 2. Non-written disclosures (Rule 70.9)

**see separate sheet**

## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

## VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

In his reply to the written opinion, the applicant has not provided arguments that could have led to a different opinion with respect to the requirements of Article 33(1) PCT.

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Reference is made to the following document:

D1: WO 97 26719 A (NEWCOM TECHNOLOGIES) 24 July 1997  
(1997-07-24)

2. The subject-matter of **claim 1**, as far as it can be understood (see VIII), does not involve an inventive step (Article 33(3) PCT).

Document **D1** already discloses (see in particular page 2, line 23- page 3, line 21; figs. 6 and 15), according to essential features of claim 1, a telecommunications system comprising two SIM modules, a power supply, and switch means for providing access to any one of the SIM modules (see in particular page 2, line 27- page 3, line 5).

The subject-matter of claim 1 differs from that of D1 only in that access is switched over to the other data carrier when said other data carrier is required for a task.

This is however an obvious possibility for a person skilled in the art. Such an access scheme is for instance also used in most of the personal computers, where disk drives are accessed according to need and access occurs with only one disk drive at a time.

3. The subject-matter of method **claim 7** corresponds substantially to that of apparatus claim 1. Therefore the above reasoning is applicable mutatis mutandis to claim 7 and the subject-matter of claim 7 does not involve an inventive step (Article 33(3) PCT).

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

---

International application No. PCT/EP99/02578

4. Dependent **claims 2 to 6 and 8 to 19** do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step, the reasons being as follows.

Maintaining the power supply for a predetermined time in response to a command to extinguish the power supply (claims **2 and 8**) is well known, most processor controlled devices need a certain time for shutdown.

Furthermore, regular power-up of a telecommunications device (claims **3 and 9**) is merely one of several design options from which the skilled person would select, in accordance with circumstances, without the exercise of inventive skill.

D1 already discloses data carriers permanently in engagement with receiving means (claims **4 and 10**).

The remaining dependent claims **5, 6 and 11 to 19** are concerned with design details which come within the scope of the customary practice followed by persons skilled in the art.

**Re Item VI**

**Certain documents cited**

Certain published documents (Rule 70.10)

Application No Patent No	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
WO 98/33343	30.07.1998	27.01.1998	27.01.1997
WO 98/24257	04.06.1998	24.11.1997	27.11.1996
WO 98/27767	25.06.1998	16.12.1997	17.12.1996

**Re Item VII**

**Certain defects in the international application**

1. The independent claims should be drafted in the proper two-part "characterised" form recommended by Rule 6.3.(b),(i),(ii) PCT, having a preamble that correctly reflects the nearest prior art, presumably that represented by document D1.
2. If any amended independent claims are filed, the opening part of the description should be brought into agreement with the wording thereof.
3. In order to meet the requirements of Rule 5.1.(a),(ii) PCT, the relevant prior art, i.e. the document noted above, should be acknowledged by reference and briefly discussed in the introductory part of the description.
4. All the claims should include reference signs in parentheses where features shown in the drawings are referred to, Rule 6.2.(b) PCT.
5. The attention of the applicant is finally drawn to the fact that the application may not be amended in such a way that it contains subject-matter which extends beyond the content of the application as filed, Article 34(2)(b) PCT. Amendments should be filed by way of replacement pages in the manner stipulated by Rule 66.8(a) PCT. In particular, fair copies of the amendments should be filed preferably in triplicate. Moreover, the applicant's attention is drawn to the fact that, as a consequence of Rule 66.8(a) PCT the examiner is not permitted to carry out any amendments under the PCT procedure, however minor these may be.

**Re Item VIII**

**Certain observations on the international application**

1. **Claim 1** does not meet the requirements of Article 6 PCT.
  - 1.1 Claim 1 is directed to " a data carrier system comprising a first data carrier... and a second data carrier...". The remaining features of the claim are concerned with functional aspects.

However, lines 7- 9 describe a communications device (" the communications device is arranged to be ensured of a supply of power") which is not included in the entity the claim is directed to (see 1.1). It follows that this feature is unclear, as it is not specifying that entity.

- 1.2 Most of the features concern data carriers "selectively couplable" or "arranged to be coupled". It should be noted that **any** data carrier is in principle "couplable". Furthermore the more specific details of the coupling are not features of the data carriers but rather of specific means permitting and controlling that coupling, which means are however not included in the claim.
- 1.3 The feature in line 11, "... for the execution of..", relates to a *use* of the apparatus rather than clearly defining the apparatus in terms of its technical features.
2. The objections with respect to clarity (Article 6 PCT) mentioned in section 1. apply mutatis mutandis to independent method **claim 7** which is defined by the same feature combination as apparatus claim 1.
3. In addition, the following defects with respect to clarity (Article 6 PCT) are present in the claims.
  - 3.1 The features "thereby causing.... " in **claims 1 and 7** and "thereby facilitating execution of .... " in **claims 2 and 8** attempt to define the subject-matter in terms of the result to be achieved which merely amounts to a statement of the underlying problem. The technical features necessary for achieving this result are missing.
  - 3.2 The following terms used in the claims are unclear and leave the reader in doubt as to the meaning of the technical features to which they refer, thereby rendering the definition of the subject-matter of said claims unclear.

"... in preference to..." (**claims 1 and 7**). The meaning "... instead of to..." has been assumed.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

---

International application No. PCT/EP99/02578

"... to be ensured of a supply of power" (**claims 1, 2, 7, and 8**). The meaning "... to be supplied with power..." is assumed.

"... is actuatable *between* a powered state and an unpowered state" (**claims 3 and 9**). The meaning "... is actuatable *from* a powered state *to* an unpowered state" is assumed.

"... arranged to be actuated ... to the powered state... *for a period of time*" (**claims 3 and 9**). The meaning "... arranged to be actuated ... to the powered state... *wherein the powered state is maintained for a predetermined period of time*" is assumed.

"... *at* a predetermined *interval*" (**claims 3 and 9**). The particle *at* generally designates a point of time and not an interval. The meaning "... *at point of times separated by a predetermined interval*" is assumed.

3.3 The term "substantially" (**claims 2, 3, 4, 8, 9, and 10**) is vague and unclear.



# PCT REQUEST

PCT/EP 9 / 0 2 5 7 8

International Application No.

(15. 04. 1999)

15 APR 1999

International Filing Date

EUROPEAN PATENT OFFICE  
PCT INTERNATIONAL APPLICATION

Name of the International Application

Applicant's or agent's file reference  
(if desired) (12 characters maximum) CE01538R/PCT

The undersigned requests that the present  
international application be processed  
according to the Patent Cooperation Treaty.

Box No. I TITLE OF INVENTION DATA CARRIER SYSTEM

Box No. II APPLICANT

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

Motorola Limited  
Jays Close  
Viabes Industrial Estate  
Basingstoke, Hampshire, RG22 4PD  
United Kingdom

☐ This person is also inventor.

Telephone No.

Facsimile No.

Teleprinter No.

State (i.e. country) of nationality: GB

State (i.e. country) of residence: GB

This person is applicant ☐ all designated ☒ all designated States except ☐ the United States ☐ the States indicated  
for the purposes of: States the United States of America of America only in the Supplemental Box

Box No. III FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

HOWELL, Stephen Andrew  
56 Newstead Road  
Barnwood  
Gloucester, GL4 3TQ  
United Kingdom

This person is:

- ☐ applicant only  
☒ applicant and inventor  
☐ inventor only (if this check-box is marked, do not fill in below.)

State (i.e. country) of nationality: GB

State (i.e. country) of residence: GB

This person is applicant ☐ all designated ☐ all designated States except ☒ the United States ☐ the States indicated  
for the purposes of: States the United States of America of America only in the Supplemental Box

☒ Further applicants and/or (further) inventors are indicated on a continuation sheet.

Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf

of the applicant(s) before the competent International Authorities as: ☒ agent ☐ common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country)

GIBSON, Sarah  
Motorola European Intellectual Property Operations  
Midpoint, Alencon Link  
Basingstoke, RG21 7PL  
United Kingdom

Telephone No.  
01256 790 790

Facsimile No.  
01256 811319

Teleprinter No.

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

## Continuation of Box No. III

## FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS

If none of the following sub-boxes is used, this sheet is not to be included in the request.

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

BARNES, Nigel Everard  
Tavy Cottage  
Bishop's Sutton  
Alresford  
SO24 0AL  
United Kingdom

This person is:

- ☐ applicant only  
☒ applicant and inventor  
☐ inventor only (if this check-box is marked, do not fill in below.)

State (i.e. country) of nationality: GB

State (i.e. country) of residence: GB

This person is applicant ☐ all designated ☐ all designated States except ☒ the United States ☐ the States indicated for the purposes of: States the United States of America of America only in the Supplemental Box

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

- ☐ applicant only  
☐ applicant and inventor  
☐ inventor only (if this check-box is marked, do not fill in below.)

State (i.e. country) of nationality:

State (i.e. country) of residence:

This person is applicant ☐ all designated ☐ all designated States except ☐ the United States ☐ the States indicated for the purposes of: States the United States of America of America only in the Supplemental Box

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

- ☐ applicant only  
☐ applicant and inventor  
☐ inventor only (if this check-box is marked, do not fill in below.)

State (i.e. country) of nationality:

State (i.e. country) of residence:

This person is applicant ☐ all designated ☐ all designated States except ☐ the United States ☐ the States indicated for the purposes of: States the United States of America of America only in the Supplemental Box

Name and address: (Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

- ☐ applicant only  
☐ applicant and inventor  
☐ inventor only (if this check-box is marked, do not fill in below.)

State (i.e. country) of nationality:

State (i.e. country) of residence:

This person is applicant ☐ all designated ☐ all designated States except ☐ the United States ☐ the States indicated for the purposes of: States the United States of America of America only in the Supplemental Box

☐ Further applicants and/or (further) inventors are indicated on a continuation sheet.

**Box No.V DESIGNATION STATES**

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

**Regional Patent**

- ☐ **AP ARIPO Patent:** GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☐ **EA Eurasian Patent:** AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☐ **EP European Patent:** AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☐ **OA OAPI Patent:** BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

**National Patent (if other kind of protection or treatment desired, specify on dotted line):**

- |   |   |
|---|---|
| <input type="checkbox"/> AL Albania                               | <input type="checkbox"/> LS Lesotho                                   |
| <input type="checkbox"/> AM Armenia                               | <input type="checkbox"/> LT Lithuania                                 |
| <input type="checkbox"/> AT Austria                               | <input type="checkbox"/> LU Luxembourg                                |
| <input type="checkbox"/> AU Australia                             | <input type="checkbox"/> LV Latvia                                    |
| <input type="checkbox"/> AZ Azerbaijan                            | <input type="checkbox"/> MD Republic of Moldova                       |
| <input type="checkbox"/> BA Bosnia and Herzegovina                | <input type="checkbox"/> MG Madagascar                                |
| <input type="checkbox"/> BB Barbados                              | <input type="checkbox"/> MK The former Yugoslav Republic of Macedonia |
| <input type="checkbox"/> BG Bulgaria                              | <input type="checkbox"/> MN Mongolia                                  |
| <input type="checkbox"/> BR Brazil                                | <input type="checkbox"/> MW Malawi                                    |
| <input type="checkbox"/> BY Belarus                               | <input type="checkbox"/> MX Mexico                                    |
| <input type="checkbox"/> CA Canada                                | <input type="checkbox"/> NO Norway                                    |
| <input type="checkbox"/> CH and LI Switzerland and Liechtenstein  | <input type="checkbox"/> NZ New Zealand                               |
| <input checked="" type="checkbox"/> CN China                      | <input type="checkbox"/> PL Poland                                    |
| <input type="checkbox"/> CU Cuba                                  | <input type="checkbox"/> PT Portugal                                  |
| <input type="checkbox"/> CZ Czech Republic                        | <input type="checkbox"/> RO Romania                                   |
| <input checked="" type="checkbox"/> DE Germany                    | <input type="checkbox"/> RU Russian Federation                        |
| <input type="checkbox"/> DK Denmark                               | <input type="checkbox"/> SD Sudan                                     |
| <input type="checkbox"/> EE Estonia                               | <input type="checkbox"/> SE Sweden                                    |
| <input type="checkbox"/> ES Spain                                 | <input type="checkbox"/> SG Singapore                                 |
| <input type="checkbox"/> FI Finland                               | <input type="checkbox"/> SI Slovenia                                  |
| <input type="checkbox"/> GB United Kingdom                        | <input type="checkbox"/> SK Slovakia                                  |
| <input type="checkbox"/> GD Grenada                               | <input type="checkbox"/> SL Sierra Leone                              |
| <input type="checkbox"/> GE Georgia                               | <input type="checkbox"/> TJ Tajikistan                                |
| <input type="checkbox"/> GH Ghana                                 | <input type="checkbox"/> TM Turkmenistan                              |
| <input type="checkbox"/> GM Gambia                                | <input type="checkbox"/> TR Turkey                                    |
| <input type="checkbox"/> HR Croatia                               | <input type="checkbox"/> TT Trinidad and Tobago                       |
| <input type="checkbox"/> HU Hungary                               | <input type="checkbox"/> UA Ukraine                                   |
| <input type="checkbox"/> ID Indonesia                             | <input type="checkbox"/> UG Uganda                                    |
| <input type="checkbox"/> IL Israel                                | <input checked="" type="checkbox"/> US United States of America       |
| <input type="checkbox"/> IN India                                 |   |
| <input type="checkbox"/> IS Iceland                               |   |
| <input type="checkbox"/> JP Japan                                 | <input type="checkbox"/> UZ Uzbekistan                                |
| <input type="checkbox"/> KE Kenya                                 | <input type="checkbox"/> VN Viet Nam                                  |
| <input type="checkbox"/> KG Kyrgyzstan                            | <input type="checkbox"/> YU Yugoslavia                                |
| <input type="checkbox"/> KP Democratic People's Republic of Korea | <input type="checkbox"/> ZW Zimbabwe                                  |
| <input type="checkbox"/> KR Republic of Korea                     |   |
| <input type="checkbox"/> KZ Kazakhstan                            |   |
| <input type="checkbox"/> LC Saint Lucia                           |   |
| <input type="checkbox"/> LK Sri Lanka                             |   |
| <input type="checkbox"/> LR Liberia                               |   |

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

**Precautionary Designation Statement:** In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

**Supplemental Box**

If the Supplemental Box is not used, this sheet should not be included in the request.

1. If, in any of the Boxes, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the provisions of the Box in which the space was insufficient, in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
  - (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
  - (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of all designated States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
  - (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
  - (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "Continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;
  - (vi) if, in Box No. VI, there are more than three earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.
  - (vii) if, in Box No. VI, the earlier application is an ARIPO application: in such case, write "Continuation of Box No. VI", specify the number of the item corresponding to that earlier application and indicate at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed.
2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded
3. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty: in such case, write "Statement concerning non-prejudicial disclosures or exceptions to lack of novelty" and furnish that statement below

Continuation of Box No. IV

IBBOTSON, Harry  
 GIBSON, Sarah  
 POTTS, Susan  
 WILLIAMSON, Simeon  
 HUDSON, Peter

All above attorneys/agents are members of Motorola, Inc., Intellectual Property Department and have the same address, telephone number and telegraphic address as indicated in Box IV.

**Box No. VI PRIORITY CLAIM**Further priority claims are indicated in the Supplemental Box ☐

Priority of the following earlier application is claimed:

Office with earlier application filed

Filing Date of earlier application (day/month/year)	Number of earlier application	National application = country; regional application = regional Office	International application = receiving Office
item (1) 16 APRIL 1998 (16.04.98)	9807967.6	United Kingdom	
item (2)			
item (3)			

- ☐ The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s): \_\_\_\_\_
- \* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii). See Supplemental Box.

**Box No. VII INTERNATIONAL SEARCHING AUTHORITY**

Choice of International Searching Authority (ISA) (if two or more International Searching Authorities

are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used): ISA/EP

Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year)

Number:

Country (or regional office):

**Box No. VIII CHECK LIST; LANGUAGE OF FILING**

This international application contains the following number of sheets: 1. request : 5 sheets 2. description (excluding sequence listing part) : 8 sheets 3. claims : 3 sheets 4. abstract : 1 sheets 5. drawings : 4 sheets 6. sequence listing part of description : 0 sheets Total : 21 sheets	This international application is accompanied by the item(s) marked below: 1. <input checked="" type="checkbox"/> fee calculation sheet 2. <input type="checkbox"/> separate signed power of attorney 3. <input checked="" type="checkbox"/> copy of general power of attorney 4. <input type="checkbox"/> statement explaining lack of signature 5. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s):	6. <input type="checkbox"/> translation of international application into (language): _____ 7. <input type="checkbox"/> separate indications concerning deposited microorgs./biological mat. 8. <input type="checkbox"/> nucleotide and/or amino acid sequence listing in computer readable form 9. <input type="checkbox"/> other (specify): copy, U.S. assignment
--	--	--

Figure No. \_\_\_\_\_ of the drawings (if any) should accompany the abstract when it is published.

Language of filing of the international application:

**Box No. IX SIGNATURE OF APPLICANT OR AGENT**

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).



SARAH GIBSON - AGENT

For receiving Office use only

1. Date of actual receipt of the purported international application: 15 APR 1999 (15. 04. 1999)	2. Drawings:  <input checked="" type="checkbox"/> received:  <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article II(2):	
5. International Searching Authority specified by the applicant: ISA/	
6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid	

For International Bureau use only

Date of receipt of the record copy by the International Bureau: